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|---|--------------------------|--|---------------|---------|
| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br>(37 CFR 1.63)  | Attorney Docket Number   | 50623.00117 (2951)   |               |         |
|   | First Named Inventor     | Stephen D. Pacetti, et al.   |               |         |
|   | <b>COMPLETE IF KNOWN</b> |  |               |         |
|   | Application Number       | Unknown  |               |         |
|   | Filing Date              | June 28, 2001  |               |         |
|   | Group Art Unit           | Unknown  |               |         |
| <input checked="" type="checkbox"/> Declaration Submitted With Initial Filing | OR                       | <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Examiner Name | Unknown |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) Country | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|--|--------------------------|--------------------------|--------------------------|
|                                     |         |  |                          | YES                      | NO                       |
|                                     |         |  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
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|                                     |         |  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

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| NAME OF SOLE OR FIRST INVENTOR:   |  |              |                           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |
| Given Name<br>(first and middle [if any])   |  |              | Family Name<br>or Surname |   |  |
| Stephen D.  |  |              | Pacetti                   |   |  |
| Inventor's Signature  |  |              | Date                      |   |  |
| <i>Stephen Pacetti</i>  |  |              | 6/25/2001                 |   |  |
| San Jose  |  | California   |                           | USA   |  |
| Residence: City   |  | State        |                           | Country   |  |
| USA   |  | 650.856.6500 |                           | 650.843.8777  |  |
| Country   |  | Telephone    |                           | Fax   |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |  |              |                           |   |  |
| NAME OF SECOND INVENTOR:  |  |              |                           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |
| Given Name<br>(first and middle [if any])   |  |              | Family Name<br>or Surname |   |  |
| Plaridel K.   |  |              | Villareal                 |   |  |
| Inventor's Signature  |  |              | Date                      |   |  |
| <i>Plaridel Villareal</i>   |  |              | 6-25-01                   |   |  |
| San Jose  |  | California   |                           | USA   |  |
| Residence: City   |  | State        |                           | Country   |  |
| USA   |  | 95130        |                           | 95139   |  |
| Country   |  | Zip          |                           | Country   |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |  |              |                           |   |  |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.   |  |              |                           |   |  |

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

|                        |                    |
|------------------------|--------------------|
| Application Number     | Unknown            |
| Filing Date            |                    |
| First Named Inventor   | Stephen D. Pacetti |
| Group Art Unit         | Unknown            |
| Examiner Name          | Unknown            |
| Attorney Docket Number | 50623.00117 (2951) |

I hereby appoint:

☐ Practitioners at Customer Number  
OR

☒ Practitioner(s) named below:

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**Name/ Registration Number**

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Winger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. 47,947.

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Stephen D. Pacetti

Signature *Stephen Pacetti*

Date June 25, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

**SIGNATURE of Applicant or Assignee of Record**

Name Plaridel K. Villareal

Signature *Plaridel Villareal*

Date 6-25-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 3 forms are submitted.

Divisional Application  
Docket No. 50623.330  
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50623.117  
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SEPTEMBER 19, 2001

PTAS

SQUIRE, SANDERS & DEMPSEY, L.L.P.  
VICTORIA L. NICHOLSON  
600 HANSEN WAY  
PALO ALTO, CA 94304-1043



\*101778747A\*

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RECORDATION DATE: 06/28/2001

REEL/FRAME: 011971/0552  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PACETTI, STEPHEN D.

DOC DATE: 06/25/2001

ASSIGNOR:

VILLAREAL, PLARIDEL K.

DOC DATE: 06/25/2001

ASSIGNEE:

ADVANCED CARDIOVASCULAR SYSTEMS,  
INC.

3200 LAKESIDE DRIVE  
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09896000  
PATENT NUMBER:

FILING DATE: 06/28/2001  
ISSUE DATE:

DATES ENTERED

No Action

PEARLENE FOSTER, EXAMINER  
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OCT 01 2001

BY TWL CALENDARED  
ATTORNEY  
SQUIRE, SANDERS & DEMPSEY



Divisional Application  
Docket No. 50623.330  
Copy from USSN 09/896,000

PATENT  
Attorney Docket No. 50623.00117 (2951)

ASSIGNMENT

(1-2) *Insert Name(s) of Inventor(s)*

(1) Stephen D. Pacetti

(2) Plaridel K. Villareal

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) *Insert name of Assignee*

(9) Advanced Cardiovascular Systems, Inc.

(10) *Insert state of incorporation of Assignee*

(10) California

(11) *Insert address of Assignee*

(11) of 3200 Lakeside Drive, Santa Clara, California 95054

(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number*

(12) A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT

(Case No. 50623.00117) (Serial No. Unassigned) for which the undersigned has (have) executed an application for patent in United States of America

(13) *Insert Date of Signing of Application*

(13) on June 25, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner for Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955; Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer P47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. P47,947.

*the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.*

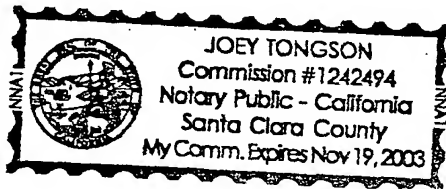
Date June 25, 2001

Stephen Pacetti  
Stephen D. Pacetti

STATE OF CALIFORNIA     )  
                                      ) ss.  
COUNTY OF                     )

On JUNE 25, 2001 before me, JOEY TONGSON, personally Stephen D. Pacetti, personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson  
Notary Public

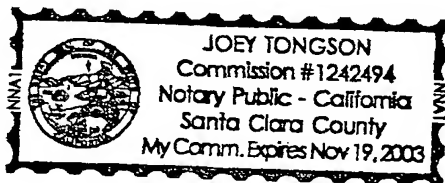
Date 6-25-01

Plaridel Villareal  
Plaridel K. Villareal

STATE OF CALIFORNIA     )  
                                      ) ss.  
COUNTY OF                     )

On JUNE 25, 2001 before me, JOEY TONGSON, personally Plaridel K. Villareal, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson  
Notary Public